



05-22-03

RCE/3683

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL</b> Address to: Commissioner for Patents Box RCE Washington, DC 20231	Application Number	09/916,174-Conf. #7113
	Filing Date	July 26, 2001
	First Named Inventor	Jochen Burgdorf
	Group Art Unit	3683
	Examiner Name	Burch, M.
	Attorney Docket No.	AP9974

#12  
5/29/03

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

a.  Previously submitted

i.  Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on April 18, 2003  
(Any unentered amendment(s) referred to above will be entered).

ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

iii.  Other \_\_\_\_\_

b.  Enclosed

i.  Amendment/Reply

ii.  Affidavit(s)/Declaration(s)

iii.  Information Disclosure Statement (IDS)

iv.  Other \_\_\_\_\_

2. **Miscellaneous**

a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b.  Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17 (e) is required by 37 CFR 1.114 when the RCE is filed.

a.  The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 18-0013

i. <input checked="" type="checkbox"/> RCE fee required under 37 CFR 1.17(e)	05/23/2003 BABRAHA1 00000058 180013 (9916174)
ii. <input checked="" type="checkbox"/> Extension of time fee (37 CFR 1.136 and 1.17)	01 FC:1801 750.00 CH
	02 FC:1202 54.00 CH
	03 FC:1201 168.00 CH

iii.  Other \_\_\_\_\_

b.  Check in the amount of \$ \_\_\_\_\_ enclosed

c.  Payment by credit card (Form PTO-2038 enclosed)

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MAY 27 2003  
GROUP 3600

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Joseph V. Coppola, Sr.	Registration No. (Attorney/Agent)	33,373
Signature		Date	May 21, 2003

**Request for Continued Examination**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV223957206US, in an envelope addressed to: Box RCE, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: May 21, 2003      Signature: Joyce Krumpel (Joyce A. Krumpel)



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL for FY 2003</b> <small>Patent fees are subject to annual revision.</small>		<b>Complete if Known</b>	
		Application Number	09/916,174-Conf. #7113
		Filing Date	July 26, 2001
		First Named Inventor	Jochen Burgdorf
		Examiner Name	Burch, M.
		Group Art Unit	3683
		Attorney Docket No.	AP9974
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>RECEIVED</b> MAY 27 2003	
<b>TOTAL AMOUNT OF PAYMENT</b>	(\$)	1,082.00	

**METHOD OF PAYMENT** (check all that apply)

Check   
  Credit Card   
  Money Order   
  Other   
  None

Deposit Account

Deposit Account Number: **18-0013**

Deposit Account Name: **Rader, Fishman & Grauer PLLC**

The Commissioner is hereby authorized to: (check all that apply)

Charge fee(s) indicated below   
  Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION** (continued)

**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	110.00
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	750.00
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)		1614;	Claims - extra independent (over three);		
		1615;	Claims - extra total (over 20)		222.00
*Reduced by Basic Filing Fee Paid				<b>SUBTOTAL (3)</b>	(\$) 1,082.00

**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>				(\$)	0.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims: 23 -20\*\* = 3 x 18.00 = 0.00

Independent Claims: 5 -3\*\* = 2 x 84.00 = 0.00

Multiple Dependent

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>				(\$)	0.00

\*\* or number previously paid, if greater; For Reissues, see above

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Joseph V. Coppola, Sr	Registration No. (Attorney/Agent)	33,373
Telephone	(248) 594-0650	Date	May 21, 2003
Signature			

**Fee Transmittal**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV223957206US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: May 21, 2003      Signature: Joyce A. Krumpe (Joyce A. Krumpe)

AP9974/64098-0878  
09/916,174

#11/D  
88  
5/29/03



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Burgdorf et al.                      Group Art Unit : 3683  
Serial No.:                      09/916,174                      Examiner:                      Burch, Melody  
Filed:                              7/26/01                              Paper No.:                      9  
For:                                SPOT-TYPE DISC BRAKE WITH A SPRING ASSEMBLY FOR A  
   BRAKE LINING

Attorney Docket No.: AP9974/64098-0878

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GROUP 3600

Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

deposited with the United States Postal Service                       transmitted by facsimile to the  
Patent and Trademark Office to  
Examiner \_\_\_\_\_  
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(fax number)

\_\_\_\_\_ first class mail, postage prepaid in an envelope addressed  
to the Commissioner for Patents, Washington, DC 20231

Express Mail, Post Office to Addressee, Mailing Label  
No. EV203957206 US addressed to Commissioner for Patents,  
Washington DC 20231

Date: 5/21/03

Signature Joyce Krumpke  
Name Joyce Krumpke

AMENDMENT UNDER 37 C.F.R. 1.111

Dear Sir:

In response to the Final Office Action mailed January 21, 2003 (Paper No. 8), and the  
Advisory Action dated April 29, 2003, please amend the above identified application as  
follows:

05/23/2003 BABRAHA1 00000058 180013 09916174  
04 FC:1251 110.00 CH